

For sale of Units of Tata Mutual Fund Scheme(s) to Resident/ Non-Resident Indians.
Please refer complete details on all page(s) and scheme description/details while applying.

Fort House, 221, D. N. Road, Fort, Mumbai – 400001.

Trustee: Tata Trustee Company Pvt. Ltd. AMC : Tata Asset Management Ltd.

Sr. No. :

ISSUE OF UNITS OF TATA MUTUAL FUND SCHEMES OF FACE VALUE OF RUPEES 10/- EACH AT NAV BASED RESALE PRICE WITH APPLICABLE LOAD FOR ONGOING SALE

Refer complete scheme details/instructions while filling in application form in English BLOCK LETTERS. Tick (✓) whichever is applicable. Strike out which is not required.

Broker/Agent Code	Sub-Broker Code	Bank/Branch	Serial No.
ARN-3852			

Existing Folio No. :

UNITHOLDER INFORMATION

a. Name of First Applicant																				
	Please mention your name as it appears in your Bank Account												Date of Birth (DD/MM/YYYY)							
Company													Name of the contact person							
Name of Second Applicant																				
Name of Third Applicant																				
Father/Husband's Name (First Applicant)																				
Name of Guardian																				
b. Mode of Holding	<input type="checkbox"/> Single <input type="checkbox"/> Joint <input type="checkbox"/> Anyone or Survivor Default Option: Joint.																			
c. Mailing Address																				
	Pin Code			City																
	State :					Country :					E-mail * :									
	* I/ We Wish to Receive Account Statement / Annual Report / Other communication through Email in lieu of the physical copy of the same Yes <input type="checkbox"/> No <input type="checkbox"/>																			
d. Contact Particulars	Telephone : Office					Fax					Residence					Mobile No.				
e. Occupation	<input type="checkbox"/> Agriculture <input type="checkbox"/> Business <input type="checkbox"/> Service <input type="checkbox"/> Professional <input type="checkbox"/> Housewife <input type="checkbox"/> Retired <input type="checkbox"/> Student <input type="checkbox"/> Other																			
f. Are you applying as	<input type="radio"/> Resident Individual <input type="radio"/> NRI/NRO <input type="radio"/> NRI/NRE <input type="radio"/> AOP <input type="radio"/> FII <input type="radio"/> Body Corporate <input type="radio"/> Trust <input type="radio"/> Partnership <input type="radio"/> Public Ltd. Co. <input type="radio"/> On behalf of Minor <input type="radio"/> HUF <input type="radio"/> FOF <input type="radio"/> Proprietorship <input type="radio"/> BOI <input type="radio"/> Pvt. Ltd. Co. <input type="radio"/> Societies Others _____																			
g. If you are an NRI/FII, the RBI requires us to obtain an overseas address. Please mention it here.																				
	I/We confirm that I am/We are non residents of Indian nationality / origin and that I/We have remitted funds from abroad through approved banking channels or from funds in my/our NRE/FCNR account.																			
Nominee Name _____ Date of Birth _____										Signature of Guardian										
Relationship _____ Guardian details (if nominee is a minor) Name & Address _____																				

PLEASE CHOOSE THE SCHEME/S AND OPTION FOR INVESTMENT

- | | | | |
|--|------------------------------|---|---|
| <input type="checkbox"/> Tata Equity Opportunities Fund (EO) | <input type="radio"/> Growth | <input type="radio"/> Dividend/ Bonus Payout | <input type="radio"/> Dividend Reinvestment |
| <input type="checkbox"/> Tata Pure Equity Fund (PE) | <input type="radio"/> Growth | <input type="radio"/> Dividend/ Bonus Payout | <input type="radio"/> Dividend Reinvestment |
| <input type="checkbox"/> Tata Select Equity Fund (SS) | <input type="radio"/> Growth | <input type="radio"/> Dividend/ Bonus Payout | <input type="radio"/> Dividend Reinvestment |
| <input type="checkbox"/> Tata Life Sciences & Technology Fund (LS) | <input type="radio"/> Growth | <input type="radio"/> Dividend/ Bonus Payout | <input type="radio"/> Dividend Reinvestment |
| <input type="checkbox"/> Tata Equity P/E Fund (EPE) | <input type="radio"/> Growth | <input type="radio"/> Dividend/ Bonus Payout | <input type="radio"/> Dividend Reinvestment |
| <input type="checkbox"/> Tata Dividend Yield Fund (DY) | <input type="radio"/> Growth | <input type="radio"/> Dividend/ Bonus Payout | <input type="radio"/> Dividend Reinvestment |
| <input type="checkbox"/> Tata Infrastructure Fund (IS) | <input type="radio"/> Growth | <input type="radio"/> Dividend/ Bonus Payout | <input type="radio"/> Dividend Reinvestment |
| <input type="checkbox"/> Tata Mid Cap Fund (MC) | <input type="radio"/> Growth | <input type="radio"/> Dividend/ Bonus Payout | <input type="radio"/> Dividend Reinvestment |
| <input type="checkbox"/> Tata Balanced Fund (BF) | <input type="radio"/> Growth | <input type="radio"/> Dividend/ Bonus Payout | <input type="radio"/> Dividend Reinvestment |
| <input type="checkbox"/> Tata Growth Fund (GR) | <input type="radio"/> Growth | <input type="radio"/> Bonus <input type="radio"/> Dividend Payout | <input type="radio"/> Dividend Reinvestment |

Default Option: Growth / Reinvestment

- | | | |
|--|--|---|
| <input type="checkbox"/> Tata Tax Saving Fund (TS) | <input type="radio"/> Dividend/ Bonus Payout | <input type="radio"/> Dividend Reinvestment |
|--|--|---|

Default Option: Growth / Reinvestment

- | | | |
|--|---|--|
| <input type="checkbox"/> Tata Index Fund | <input type="checkbox"/> Nifty Plan
<input type="radio"/> Option A | <input type="checkbox"/> SENSEX Plan
<input type="radio"/> Option A |
|--|---|--|

Default Option: Nifty / Option A

- | | | | |
|--|--|--|---------------------------------|
| <input type="checkbox"/> Tata Monthly Income Fund (MF) | <input type="checkbox"/> Monthly Dividend
<input type="radio"/> Payout <input type="radio"/> Reinvestment | <input type="checkbox"/> Quarterly Dividend
<input type="radio"/> Payout <input type="radio"/> Reinvestment | <input type="checkbox"/> Growth |
|--|--|--|---------------------------------|

Default Option: Growth / Quarterly / Reinvestment

- | | | | | |
|---|--|--|--|---------------------------------|
| <input type="checkbox"/> Tata Monthly Income Plus Fund (MP) | <input type="checkbox"/> Monthly Dividend
<input type="radio"/> Payout <input type="radio"/> Reinvestment | <input type="checkbox"/> Quarterly Dividend
<input type="radio"/> Payout <input type="radio"/> Reinvestment | <input type="checkbox"/> Half Yearly Dividend
<input type="radio"/> Payout <input type="radio"/> Reinvestment | <input type="checkbox"/> Growth |
|---|--|--|--|---------------------------------|

Default Option: Growth / Quarterly Income / Reinvestment

APPLICATION MONEY DETAILS (Cheque / DD to be drawn in the name of the Scheme)

*Please enclose separate Cheques/Demand Draft for each scheme. * DD charges to be borne by AMC if investor doesn't fall within ISCs.*

Scheme Name _____	
Gross Amount in Rs. <input type="text"/>	DD Charges Rs. (if any)* <input type="text"/>
Net Amount in Rs. <input type="text"/>	
Amount of Investment(Rs in Words) _____	
Drawn on Bank _____ Branch _____ Cheque/DD No. _____ Dated ___/___/___	

Scheme Name _____	
Gross Amount in Rs. <input type="text"/>	DD Charges Rs. (if any)* <input type="text"/>
Net Amount in Rs. <input type="text"/>	
Amount of Investment(Rs in Words) _____	
Drawn on Bank _____ Branch _____ Cheque/DD No. _____ Dated ___/___/___	

Scheme Name _____	
Gross Amount in Rs. <input type="text"/>	DD Charges Rs. (if any)* <input type="text"/>
Net Amount in Rs. <input type="text"/>	
Amount of Investment(Rs in Words) _____	
Drawn on Bank _____ Branch _____ Cheque/DD No. _____ Dated ___/___/___	

INCOME TAX DETAILS OF ALL THE UNITHOLDERS (Mandatory) please refer instruction E

FIRST UNITHOLDER	SECOND UNITHOLDER	THIRD UNITHOLDER
PAN/GIR No. _____	PAN/GIR No. _____	PAN/GIR No. _____

Kindly enclose copy of the proof of PAN/ Form 60. Any person who does not have a permanent account number and who enters into any transaction specified in this rule shall make a declaration in Form No. 60 giving therein the particulars of such transaction.
In case of a joint holding, PAN / Form No. 60 of all the joint holders should be mentioned in the application form.

YOUR BANK ACCOUNT DETAILS (Mandatory) please refer instruction F

All communication and payments will be made to the first applicant or to the Karta in case of HUF.

Name of Your Bank	Branch														
Your Account No.															
Account No. (in words)															
Bank Address															
	City					State			PIN			MICR Code			
Account Type	<input type="checkbox"/> Savings	<input type="checkbox"/> Current	<input type="checkbox"/> NRO	<input type="checkbox"/> NRNR	<input type="checkbox"/> NRE	<input type="checkbox"/> FCNR									

Bank account details of First Unitholder and for application amount Rs. 50000/- and more, the PAN no. of all the unitholders is required without which the application would be rejected.

Example for filling the Account number:

Ac. No.			9	7	4	6	1	5	2
In words			Nine	Seven	Four	Six	One	Five	Two

DIRECT CREDIT FACILITY FOR REDEMPTION / DIVIDEND

Tata Mutual Fund directly credits the Dividends /Redemption into the investor Bank Account in case the account is with ICICI Bank Ltd./HDFC Bank Ltd./UTI Bank /IDBI Bank/Standard Chartered Bank/Kotak Bank/HSBC Bank/Deutsche Bank.

I / We understand that the instruction to the bank for Direct Credit/ ECS will be given by the Mutual Fund and such instruction will be adequate discharge of Mutual Fund towards redemption/dividend proceeds. In case of bank not crediting my/our bank account with/without assigning any reason thereof or if the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold Tata Mutual Fund responsible. I/We understand that in case account number furnished by me/us, if found incorrect, I/We would not hold Tata Mutual Fund responsible for the credit going to the wrong account. Further, the Mutual Fund reserves the right to issue a demand draft/payable at par cheque in case it is not possible to make payment by DC/ECS.

If however you wish to receive cheque payout, please tick here **DOCUMENTS TO BE SUBMITTED (Please tick (✓) whichever is applicable)**

- | | | |
|--|---|--|
| 1) <input type="checkbox"/> Memorandum & Articles of Association (Corporate) | 2) <input type="checkbox"/> Board Resolution (Corporate) | 3) <input type="checkbox"/> Authorised signatories list |
| 4) <input type="checkbox"/> Trust Deed (in case of a trust) | 5) <input type="checkbox"/> Partnership Deed (for partnership firm) | 6) <input type="checkbox"/> Copy of the PAN card of all unitholders (for investment of Rs. 50,000/- and above) |

DECLARATION AND SIGNATURES

To - The Trustee, Tata Mutual Fund, Mumbai.

Having read and understood the contents of Offering Circular of Tata Mutual Fund Scheme/s, I/We hereby apply for the respective Units of Tata Mutual Fund Scheme/s at NAV based resale price and agree to abide by the terms, conditions, rules and regulations of scheme/s.

We hereby confirm and certify that the source of these funds is not directly / indirectly a result of "proceeds of crime" as defined in "The Prevention of Money Laundering Act, 2002" and we undertake to provide all necessary proof / documentation if any required, to substantiate the facts of this undertaking. "I/We have understood the details of the scheme and I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment." I/We Authorise the Fund to disclose details of my/our account and all my/our transactions to the intermediary whose stamp appears on the application form. I also authorize the Fund to disclose details as necessary, to the Fund's and investor's bankers for the purpose of effecting payments to me/us

Sr. No. :

Holder	Name of the Holder/s	Signature(s) / Thumb Impression(s) (Refer Instruction number C (4) on page 18)
First / Sole Applicant		
Second Applicant		
Third Applicant		

Tata Mutual Fund "Acknowledgment Slip" (To be filled in by Investor)

Sr. No. :

Received application from Mr./Mrs./Master/M/s _____

Address : _____

PIN _____

Signature of Authorised Staff and Office
Seal/Stamp along with Date and Time of Receipt

Name of the scheme/s	Application for amount in Rs. (A)	DD charges (if any) in Rs. (B)	Net Amount or DD/ Pay Order drawn for Rs. (A-B)	Cheque/ DD/ Pay Order no.	Cheque/ DD/ Pay Order Dated	DD/ Cheque/ Pay Order drawn on-bank and branch (name)

Current load structure is enclosed