



## COMMON APPLICATION FORM FOR DEBT SCHEMES

For sale of Units of Tata Mutual Fund Scheme(s) to Resident/ Non-Resident Indians.  
Please refer complete details on all page(s) and scheme description/details while applying.

Sr. No. :

**ISSUE OF UNITS OF TATA MUTUAL FUND SCHEMES OF FACE VALUE OF RUPEES 10/- EACH (Rs. 1000/- FOR TATA LIQUID FUND) AT NAV BASED RESALE PRICE WITH APPLICABLE LOAD IF ANY FOR ONGOING SALE**

Refer complete scheme details/instructions while filling in application form in English BLOCK LETTERS.  
Tick (✓) whichever is applicable. Strike out which is not required.

BROKER/AGENT CODE	SUB-BROKER CODE	BANK/BRANCH	SERIAL NO.
ARN-3852			

Existing Folio No. :

### MODE OF HOLDING

Single    
  Joint    
  Anyone or Survivor    
 Default Option: Joint.

### UNITHOLDER INFORMATION

<b>Name of First Applicant</b>	Please mention your name as it appears in your Bank Account		Date of Birth (DD/MM/YYYY)
PAN No. *(Mandatory for investments of Rs. 50000/- or more)	Circle / Ward / District	Enclosed	<input type="checkbox"/> Proof of PAN No. <input type="checkbox"/> Form 60 / 61

<b>Name of Guardian</b> (in case first Applicant is Minor)	PAN No. *(Mandatory for investments of Rs. 50000/- or more)		Circle / Ward / District	Enclosed	<input type="checkbox"/> Proof of PAN No. <input type="checkbox"/> Form 60 / 61
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<b>Contact Person</b> (in case of company)			
Mailing Address (PO Box Address is not sufficient) (Indian Address in case of NRIs/FIIs)			
City	Pin Code		
State	Country	E-mail ** :	
** If We Wish to Receive Account Statement / Annual Report / Other communication through Email in lieu of the physical copy of the same			
		Yes	No

<b>Contact Particulars</b>	Telephone : Office	Fax
	Residence	Mobile No.

<b>Are you applying as</b>	<input type="radio"/> Resident Individual <input type="radio"/> Trust <input type="radio"/> Proprietorship	<input type="radio"/> NRI/NRO <input type="radio"/> Partnership <input type="radio"/> BOI	<input type="radio"/> NRI/NRE <input type="radio"/> Public Ltd. Co. <input type="radio"/> Pvt. Ltd. Co.	<input type="radio"/> AOP <input type="radio"/> On behalf of Minor <input type="radio"/> Societies	<input type="radio"/> FII <input type="radio"/> HUF	<input type="radio"/> Body Corporate <input type="radio"/> FOF <input type="radio"/> Others
<b>Occupation</b>	<input type="checkbox"/> Agriculture <input type="checkbox"/> Business <input type="checkbox"/> Service <input type="checkbox"/> Professional <input type="checkbox"/> Housewife <input type="checkbox"/> Retired <input type="checkbox"/> Student <input type="checkbox"/> Other					

<b>Name of Second Applicant</b>	PAN No. *(Mandatory for investments of Rs. 50000/- or more)		Circle / Ward / District	Enclosed	<input type="checkbox"/> Proof of PAN No. <input type="checkbox"/> Form 60 / 61
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<b>Name of Third Applicant</b>	PAN No. *(Mandatory for investments of Rs. 50000/- or more)		Circle / Ward / District	Enclosed	<input type="checkbox"/> Proof of PAN No. <input type="checkbox"/> Form 60 / 61
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\* Please enclose a copy of PAN card / PAN letter / Copy of IT Notice / Copy of IT Assessment Order or such other correspondence from the Income Tax Department, which evidences the PAN quoted by the applicant/s. In case PAN is not available, please provide Form 60 / Form 61, as the case may be, with necessary proof as specified therein.

### NOMINATION

Nominee Name _____ Address _____ _____ Guardian details if nominee is a minor Name & Address _____ _____	
	Signature of Guardian

**PLEASE CHOOSE THE SCHEME/S AND OPTION FOR INVESTMENT**

<input type="checkbox"/> <b>TATA LIQUID FUND (LF)</b> <input type="checkbox"/> RIP <input type="radio"/> Growth <input type="radio"/> Fortnightly Dividend <input type="radio"/> Daily Dividend <input type="checkbox"/> HIP <input type="radio"/> Growth <input type="radio"/> Monthly Dividend <input type="radio"/> Weekly Dividend <input type="radio"/> Daily Dividend <input type="checkbox"/> SHIP <input type="radio"/> Growth <input type="radio"/> Monthly Dividend <input type="radio"/> Weekly Dividend <input type="radio"/> Daily Dividend Under each Plan in case of Dividend Option (Please tick) <input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvestment There will be compulsory reinvestment of dividend under Daily Dividend Option	<b>Default Option: RIP / Growth / Dividend Reinvestment (please refer Instruction D)</b>
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**RIP - Regular Investment Plan      HIP - High Investment Plan      SHIP - Super High Investment Plan**

<input type="checkbox"/> <b>TATA SHORT TERM BOND FUND (ST)</b> <input type="checkbox"/> Dividend (min. investment Rs. 10,000/-) <input type="checkbox"/> Growth (min. investment Rs. 10,000/-) <input type="radio"/> Payout <input type="radio"/> Reinvestment	<b>Default Option: Growth / Reinvestment (please refer Instruction D)</b>
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<input type="checkbox"/> <b>TATA INCOME PLUS FUND (IP)</b> <input type="checkbox"/> Option A <input type="radio"/> Growth <input type="radio"/> Dividend/ Bonus Payout <input type="radio"/> Dividend Reinvestment <input type="checkbox"/> Option B <input type="radio"/> Growth <input type="radio"/> Dividend/ Bonus Payout <input type="radio"/> Dividend Reinvestment <input type="checkbox"/> Option C <input type="radio"/> Growth <input type="radio"/> Dividend/ Bonus Payout <input type="radio"/> Dividend Reinvestment	<b>Default Option: Option A / Growth / Dividend Reinvestment (please refer Instruction D)</b>
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<input type="checkbox"/> <b>TATA GILT SECURITIES FUND (GF)</b> <input type="checkbox"/> Tata Gilt Securities Fund - <input type="checkbox"/> Normal <input type="radio"/> Growth <input type="radio"/> Dividend/ Bonus Payout <input type="radio"/> Dividend Reinvestment <input type="checkbox"/> High Investment Plan <input type="radio"/> Growth <input type="radio"/> Dividend/ Bonus Payout <input type="radio"/> Dividend Reinvestment <input type="checkbox"/> Tata Gilt Short Maturity Plan <input type="radio"/> Growth <input type="radio"/> Dividend/ Bonus Payout <input type="radio"/> Dividend Reinvestment	<b>Default Option: Normal / Growth / Dividend Reinvestment (please refer Instruction D)</b>
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<input type="checkbox"/> <b>TATA INCOME FUND (IF)</b> <input type="checkbox"/> Quarterly Dividend <input type="checkbox"/> Half Yearly Dividend <input type="checkbox"/> Periodic Dividend <input type="checkbox"/> Growth <input type="checkbox"/> Bonus <input type="radio"/> Payout <input type="radio"/> Reinvestment <input type="radio"/> Payout <input type="radio"/> Reinvestment <input type="radio"/> Payout <input type="radio"/> Reinvestment	<b>Default Option: Growth / Quarterly / Reinvestment (please refer Instruction D)</b>
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<input type="checkbox"/> <b>TATA DYNAMIC BOND FUND (DB)</b> <input type="checkbox"/> Option A <input type="checkbox"/> Option B <input type="checkbox"/> Income / Bonus <input type="checkbox"/> Growth <input type="checkbox"/> Income / Bonus <input type="checkbox"/> Growth <input type="radio"/> Payout <input type="radio"/> Reinvestment <input type="radio"/> Payout <input type="radio"/> Reinvestment	<b>Default Option: Option A / Growth / Reinvestment (please refer Instruction D)</b>
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<input type="checkbox"/> <b>TATA FLOATING RATE FUND</b> <input type="checkbox"/> Short Term <input type="checkbox"/> Long Term <input type="checkbox"/> Short Term Institutional Plan <input type="checkbox"/> Income / Bonus <input type="checkbox"/> Growth <input type="checkbox"/> Income / Bonus <input type="checkbox"/> Growth <input type="checkbox"/> Daily Dividend <input type="checkbox"/> Growth <input type="radio"/> Payout <input type="radio"/> Reinvestment <input type="radio"/> Payout <input type="radio"/> Reinvestment      (Compulsary Reinvestment)	<b>Default Option: Short Term / Growth / Reinvestment (please refer Instruction D)</b>
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<input type="checkbox"/> <b>TATA FLOATER FUND (TF)</b> <input type="checkbox"/> Growth <input type="checkbox"/> Dividend <input type="checkbox"/> Daily <input type="checkbox"/> Payout <input type="checkbox"/> Reinvestment <input type="checkbox"/> Weekly <input type="checkbox"/> Payout <input type="checkbox"/> Reinvestment <i>Default Option : Growth      Default Option : Weekly      Default Option : Reinvestment</i>	<b>Default Option: Growth / Reinvestment (please refer Instruction D)</b>
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<input type="checkbox"/> <b>TATALIQUIDITY MANAGEMENT FUND (TLMF)</b> <input type="checkbox"/> Growth <input type="checkbox"/> Dividend <input type="checkbox"/> Daily <input type="checkbox"/> Reinvestment <input type="checkbox"/> Weekly <input type="checkbox"/> Reinvestment      Dividend will be compulsarily reinvested <i>Default Option : Growth      Default Option : Weekly</i>	Dividend will be compulsarily reinvested
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**APPLICATION MONEY DETAILS (Cheque / DD to be drawn in the name of the Scheme)**

Please enclose separate Cheques/Demand Draft for each scheme. \* DD charges to be borne by AMC if investor doesn't fall within ISCs.

**Scheme Name** \_\_\_\_\_

Gross Amount in Rs.       DD Charges Rs. (if any)\*

Net Amount in Rs.

Amount of Investment(Rs in Words) \_\_\_\_\_

Drawn on Bank \_\_\_\_\_ Branch \_\_\_\_\_ Cheque/DD No. \_\_\_\_\_ Dated \_\_\_/\_\_\_/\_\_\_\_\_

**Scheme Name** \_\_\_\_\_

Gross Amount in Rs.       DD Charges Rs. (if any)\*

Net Amount in Rs.

Amount of Investment(Rs in Words) \_\_\_\_\_

Drawn on Bank \_\_\_\_\_ Branch \_\_\_\_\_ Cheque/DD No. \_\_\_\_\_ Dated \_\_\_/\_\_\_/\_\_\_\_\_

**Scheme Name** \_\_\_\_\_

Gross Amount in Rs.       DD Charges Rs. (if any)\*

Net Amount in Rs.

Amount of Investment(Rs in Words) \_\_\_\_\_

Drawn on Bank \_\_\_\_\_ Branch \_\_\_\_\_ Cheque/DD No. \_\_\_\_\_ Dated \_\_\_/\_\_\_/\_\_\_\_\_

## OVERSEAS ADDRESS

Overseas Address in case of NRI/IIIs \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Pin \_\_\_\_\_

Country \_\_\_\_\_ Communication Office Tel \_\_\_\_\_ Res. Tel. \_\_\_\_\_ Fax \_\_\_\_\_

## YOUR BANK ACCOUNT DETAILS (Mandatory) please refer instruction F

All communication and payments will be made to the first applicant or to the Karta in case of HUF.

Name of Your Bank	Branch									
Your Account No.										
Account No. (in words)										
Bank Address	City _____ State _____ PIN _____ MICR Code _____									
Account Type	<input type="checkbox"/> Savings	<input type="checkbox"/> Current	<input type="checkbox"/> NRO	<input type="checkbox"/> NRNR	<input type="checkbox"/> NRE	<input type="checkbox"/> FCNR				

Bank account details of First Unitholder and for application amount Rs. 50000/- and more, the PAN no. of all the unitholders is required without which the application would be rejected.

Example for filling the Account number:

Ac. No.				9	7	4	6	1	5	2
In words				Nine	Seven	Four	Six	One	Five	Two

## DIRECT CREDIT FACILITY FOR REDEMPTION / DIVIDEND

Tata Mutual Fund directly credits the Dividends /Redemption into the investor Bank Account in case the account is with ICICI Bank Ltd./HDFC Bank Ltd./UTI Bank /IDBI Bank/ Standard Chartered Bank/Kotak Bank/HSBC Bank/Deutsche Bank. (Provided A/c. No. is mentioned in figures as well as words).

I/ We understand that the instruction to the bank for Direct Credit/ ECS will be given by the Mutual Fund and such instruction will be adequate discharge of Mutual Fund towards redemption/dividend proceeds. In case of bank not crediting my/our bank account with/without assigning any reason thereof or if the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold Tata Mutual Fund responsible. I/We understand that in case account number furnished by me/us, if found incorrect, I/We would not hold Tata Mutual Fund responsible for the credit going to the wrong account. Further, the Mutual Fund reserves the right to issue a demand draft/payable at par cheque in case it is not possible to make payment by DC/ECS.

If however you wish to receive cheque payout, please tick here

## DOCUMENTS TO BE SUBMITTED (Please tick (✓) whichever is applicable)

- |  |  |
|--|--|
| 1) <input type="checkbox"/> Memorandum & Articles of Association (Corporate) | 5) <input type="checkbox"/> Partnership Deed (for partnership firm)  |
| 2) <input type="checkbox"/> Board Resolution (Corporate)                     | 6) <input type="checkbox"/> Copy of the PAN card of all unitholders (for investment of Rs. 50,000/- and above) |
| 3) <input type="checkbox"/> Authorised signatories list                      | 7) <input type="checkbox"/> Form 60/61 (along with necessary proof)  |
| 4) <input type="checkbox"/> Trust Deed (in case of a trust)                  |  |

## DECLARATION AND SIGNATURES

To - The Board of Trustees, Tata Mutual Fund, Mumbai.  
Having read and understood the contents of Offering Circular of Tata Mutual Fund Scheme/s, I/We hereby apply for the Units of Tata Mutual Fund Scheme/s at NAV based resale price with applicable load and agree to abide by the terms, conditions, Rules and Regulations of the Scheme.

We hereby confirm and certify that the source of these funds is not directly / indirectly a result of "proceeds of crime" as defined in "The Prevention of Money Laundering Act, 2002" and we undertake to provide all necessary proof / documentation if any required, to substantiate the facts of this undertaking. "I/We have understood the details of the scheme and I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment." I/We authorize the fund to disclose details of my/our account and all my/our transactions to the intermediary whose stamp appears on the application form. I also authorize the Fund to disclose details as necessary, to the Fund's and investor's bankers for the purpose of effecting payments to me/us.

Sr. No. :

Holder	Name of the Holder/s	Signature(s) / Thumb Impression(s) (Refer Instruction number C (4))
1st/ Sole		
2 nd		
3 rd		

Date : \_\_\_\_\_ Place : \_\_\_\_\_

## Tata Mutual Fund "Acknowledgment Slip" (To be filled in by Investor)

Sr. No. :

Received application from Mr./Mrs./Master/M/s \_\_\_\_\_

Address : \_\_\_\_\_

PIN \_\_\_\_\_

Signature of Authorised Staff and Office  
Seal/Stamp along with Date and Time of Receipt

Name of the scheme/s	Application for amount in Rs. (A)	DD charges (if any) in Rs. (B)	Net Amount or DD/ Pay Order drawn for Rs. (A-B)	Cheque/ DD/ Pay Order no.	Cheque/ DD/ Pay Order Dated	DD/ Cheque/ Pay Order drawn on-bank and branch (name)

Current load structure is enclosed