



Principal Pnb Asset Management Company Private Limited

Investment Manager for Principal Mutual Fund

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Systematic Investment Plan (SIP) ARN-3852

REGISTRATION CUM MANDATE FORM FOR SIP PDCs

INVESTOR AND INVESTMENT DETAILS

Sole / First Investor Name _____

Account No. for Existing Investors _____

Application No. (Mentioned on the main Application Form) _____

MAPIN/UIN^A No. _____ PAN No. _____

Scheme _____

Option & Sub-Option _____

SIP AND BANK DETAILS

Bank Name & Branch _____

City _____ Pincode _____

Account Number _____ Account Type Savings Current Cash Credit

9 Digit MICR Code _____ ◀ (Please enter the 9 digit number that appears after your cheque number)

No. of cheques (Atleast 6) _____ Amount per cheque (Rs.) _____

Total Amount (Rs.) _____ Frequency : Monthly Quarterly

Cheque Nos.: _____

Cheque date should be either 1st, 5th, 15th, 25th of the month/quarter. (the date on which you want to invest)

Start Month _____ SIP Dates : 1st 5th 15th 25th of the month / quarter.

First Account Holder's Signature

Second Account Holder's Signature

Third Account Holder's Signature

SIP FACILITY : TERMS & CONDITIONS

SIP payment through Electronic Clearing Service (Debit Clearing) of the Reserve Bank of India (RBI)

List of Cities for SIP Auto Debit Facility via ECS (Debit Clearing)

Ahmedabad, Bangalore, Baroda, Bhopal, Bhubaneshwar, Chandigarh, Chennai, Coimbatore, Guwahati, Hyderabad, Indore, Jaipur, Kanpur, Kolkata, Lucknow, Ludhiana, Mumbai, Nagpur, New Delhi, Patna, Pune, Surat, Vijayawada, Vizag.

- SIP Auto Debit facility is offered to investors having bank accounts in select cities mentioned above and within these cities only at the AMC offices.
- The SIP Facility through PDCs is offered at AMC Offices in the following cities - Ahmedabad, Bangalore, Baroda, Bhopal, Bhubaneshwar, Chandigarh, Chennai, Coimbatore, Guwahati, Hyderabad, Indore, Jaipur, Kanpur, Kolkata, Lucknow, Ludhiana, Mumbai, Nagpur, New Delhi, Patna, Pune, Surat, Vijayawada, Vizag.
- Investors should mandatorily give a cheque for the first transaction drawn on the same bank account for auto debit.
- The cities in the list may be modified/updated/added/deleted at any time in future entirely at the discretion of Principal Pnb Asset Management Co. Pvt. Ltd., without assigning any reasons or prior notice. If any city is removed, SIP instructions for investors in such cities via ECS (Debit) route will be discontinued without prior notice.
- The bank account provided for ECS (Debit) should participate in local MICR clearing.
- The cheque should be drawn on the same bank account which is to be registered for ECS (Debit). No outstation cheque would be accepted.
- The investor agrees to abide by the terms and conditions of ECS facility of Reserve Bank of India (RBI).
- Investors will not hold Principal Pnb Asset Management Co. Pvt. Ltd., its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles of ECS.
- Principal Pnb Asset Management Co. Pvt. Ltd., its registrars and other service providers shall not be responsible and liable for any damages/compensation for any loss, damage, etc. incurred by the investor. The investor assumes the entire risk of using this facility and takes full responsibility.
- Principal Pnb Asset Management Co. Pvt. Ltd. reserves the right to reject any application without assigning any reason thereof.
- For all schemes except Principal Global Opportunities Fund each SIP cheque should have a minimum investment amount of Rs.500 and a minimum of 6 instalments. Minimum investment amount for SIP in Principal Global Opportunities Fund is Rs.1,000 with a minimum of 6 instalments.
- Please refer to the Key Information Memorandum and Scheme Offer Document for Applicable NAV, Risk Factors, Load and other information on the Scheme / Plan before investing.
- PAN of Sole/ First Applicant, Second Applicant and Third Applicant must be mentioned in the document pertaining to the transaction if the amount of purchase of the units of Mutual Fund is Rs. 50,000 or more. Where the person making an application is minor and who does not have any income chargeable to income-tax, he shall quote the PAN of his/her father or mother or guardian, as the case may be.
In case PAN is not available, please provide Form 60/ Form 61, as the case may be. The investor shall submit the necessary supporting documents required in Form 60/Form 61.
- Presently SEBI has suspended all fresh registrations for obtaining fresh UIN and the requirement to quote / obtain the UIN. UIN needs to be quoted as and when required by SEBI.
- The load structure for applications through SIP for all schemes except Principal Infrastructure & Services Industries Fund is as follows :
Entry load : Nil; Exit load : 2% if redeemed within 365 days
The load structure for applications through SIP for Principal Infrastructure & Services Industries Fund is as follows :
Entry load : Nil; Exit load : 2% if redeemed within 2 years.