



# Application Form for Debt Schemes

APPLICATION FORM

**Principal Pnb Asset Management Company Private Limited**  
Investment Manager for Principal Mutual Fund

No. \_\_\_\_\_

**BROKER INFORMATION & APPLICATION RECEIPT DATE (Not to be filled in by the Applicant)**

Broker Name & Code	Sub-Broker Code	Registrar Serial No.	Bank Serial No.	Date & Time Receipt
<b>ARN-3852</b>				

**EXISTING UNITHOLDERS DETAILS (Please fill in your Common Account No. & First Unitholder's Name)**

Common Account No.	First Unitholder's Name

**NEW APPLICANT INFORMATION (Please fill-up entire form in CAPITAL LETTERS & black/blue ink)**

Status of First Applicant				Occupation of 1st Applicant / Guardian	
<input type="radio"/> - Resident Individual	<input type="radio"/> - Partnership Firm	<input type="radio"/> - AOP	<input type="radio"/> - BOI	<input type="radio"/> - Business	
<input type="radio"/> - Minor	<input type="radio"/> - Body Corporate	<input type="radio"/> - Society/Club	<input type="radio"/> - Others	<input type="radio"/> - Service	
<input type="radio"/> - HUF	<input type="radio"/> - Trust	<input type="radio"/> - Company	Please specify.....	<input type="radio"/> - Profession	
If Applicant is a Non-Resident				<input type="radio"/> - Retired	
<input type="radio"/> - NRI (Repatriable)	<input type="radio"/> - FII (Repatriable)	<input type="radio"/> - NRI Minor (Repatriable)		<input type="radio"/> - Agriculture	
<input type="radio"/> - NRI (Non Repatriable)		<input type="radio"/> - NRI Minor (Non Repatriable)		<input type="radio"/> - House wife	
Mode of Holding				<input type="radio"/> - Student	
<input type="radio"/> - Single	<input type="radio"/> - Jointly	<input type="radio"/> - Either / Anyone or Survivor		<input type="radio"/> - Others	
Name of Sole/First Applicant/Minor/Karta of HUF/Non Individual/Donor				Date of Birth (dd/mm/yyyy)	
_____				/ /	
Name of Guardian (In case of minor)					
_____					
Name of Alternate Guardian (In case of minor)					
_____					
Name of Contact Person (In case of Body Corporate/Company/Society/FII/Trust/AOP/BOI etc)					
_____					
Address of Sole/First Applicant (Local Indian address only)					
_____					
City: _____ Pin: _____ Dist: _____ State: _____				Send me a pin for Internet services <input type="radio"/> - Yes / <input type="radio"/> - No	
Telephone: STD Code: _____ Off.: _____ Res.: _____ Fax: _____					
Mobile: _____ Email Address: _____					
Name of Second Applicant / Joint Holder (Only for Resident Individual & NRI)				Date of Birth (dd/mm/yyyy)	
_____				/ /	
Name of Third Applicant / Joint Holder (Only for Resident Individual & NRI)				Date of Birth (dd/mm/yyyy)	
_____				/ /	
PAN No/s. (Mandatory for investments to Rs. 50,000/- and above)					
	Pan No.	Circle/Ward/District	PAN Card enclosed	Form 60/61 enclosed	MAPIN / UIN No.
Sole / First Applicant			<input type="radio"/> - Yes / <input type="radio"/> - No	<input type="radio"/> - Yes / <input type="radio"/> - No	
Second Applicant			<input type="radio"/> - Yes / <input type="radio"/> - No	<input type="radio"/> - Yes / <input type="radio"/> - No	
Third Applicant			<input type="radio"/> - Yes / <input type="radio"/> - No	<input type="radio"/> - Yes / <input type="radio"/> - No	

**FOREIGN ADDRESS DETAILS (In case the 1st Applicant is NRI / FII)**

\_\_\_\_\_

City: \_\_\_\_\_ Zipcode: \_\_\_\_\_ State: \_\_\_\_\_ Country: \_\_\_\_\_

Telephone: STD Code: \_\_\_\_\_ Off.: \_\_\_\_\_ Res.: \_\_\_\_\_ Fax: \_\_\_\_\_

Mobile: \_\_\_\_\_ Email Address: \_\_\_\_\_

**ACKNOWLEDGEMENT SLIP (To be filled in by the investor)**



**Principal Pnb Asset Management Company Private Ltd.**  
Investment Managers for Principal Mutual Fund  
Apeejay House, 5th Floor, 3 Dinshaw Vaccha Road, Churchgate, Mumbai 400 020. Tel: (91-22) 2202 1111.  
Fax: (91-22) 2204 4990. Website: www.principalindia.com E-mail: customer@principalindia.com

Application Form for Debt Schemes

APPLICATION FORM

No. \_\_\_\_\_

Received from : \_\_\_\_\_

Cheque/DD No. \_\_\_\_\_ Dated: DD / MM / YYYY

Drawn on Bank & Branch : \_\_\_\_\_

Signature, Stamp & Date

<b>NOMINATION</b>	<b>SIP / SWP / SSTP</b>
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Nomination form is enclosed: <input type="checkbox"/> Yes <input type="checkbox"/> No <i>(Please fill up the form on Page No. 25)</i>	SIP / SWP / SSTP form is enclosed: <input type="checkbox"/> Yes <input type="checkbox"/> No <i>(Please fill up the form on Page No. 26)</i>
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<b>BANK ACCOUNT DETAILS (It is mandatory to furnish these otherwise your application may be rejected)</b>
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Account No.	<b>Bank Account Type</b>
Bank Name	<input type="radio"/> - Savings <input type="radio"/> - Current
Branch Name	<input type="radio"/> - NRO <input type="radio"/> - NRE
City Name	<input type="radio"/> - FCNR <input type="radio"/> - NRSR
Pin	
9 digit MICR code of Bank Branch	as appearing next to the MICR No. issued by the bank

<b>INVESTMENT &amp; PAYMENT DETAILS</b>
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INVESTMENT DETAILS				
Scheme / Plans	Plan / Option (Please ✓)	Dividend Frequency (Please ✓)	Dividend Distribution Option (Please ✓)	Amount (Rs.)
<b>Principal Income Fund</b> <input type="radio"/> Regular Plan	<input type="checkbox"/> Dividend <input type="checkbox"/> Growth - Accumulation <input type="checkbox"/> Growth - Auto Earnings Payout	<input type="checkbox"/> Quarterly <input type="checkbox"/> Half Yearly <input type="checkbox"/> Annual	<input type="checkbox"/> Reinvest <input type="checkbox"/> Payout <input type="checkbox"/> Sweep	
<b>Principal Income Fund - Short Term Plan</b> <input type="radio"/> Regular Plan <input type="radio"/> Institutional Plan	<input type="checkbox"/> Dividend <input type="checkbox"/> Growth	<input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly	<input type="checkbox"/> Reinvest <input type="checkbox"/> Payout <input type="checkbox"/> Sweep	
<b>Principal Floating Rate Fund - Short Maturity Plan</b> <input type="radio"/> Regular Plan <input type="radio"/> Institutional Plan	<input type="checkbox"/> Dividend <input type="checkbox"/> Growth	<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Monthly	<input type="checkbox"/> Reinvest <input type="checkbox"/> Payout	
<b>Flexible Maturity Plan</b> <input type="radio"/> Regular Plan <input type="radio"/> Institutional Plan	<input type="checkbox"/> Dividend <input type="checkbox"/> Growth	<input type="checkbox"/> Weekly <input type="checkbox"/> Monthly	<input type="checkbox"/> Reinvest <input type="checkbox"/> Payout	
<b>Principal Monthly Income Plan</b> <input type="radio"/> MIP <input type="radio"/> MIP Plus	<input type="checkbox"/> Dividend <input type="checkbox"/> Growth - Accumulation <input type="checkbox"/> Growth - Auto Earnings Payout	<input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly	<input type="checkbox"/> Reinvest <input type="checkbox"/> Payout <input type="checkbox"/> Sweep	
<b>Principal Cash Management Fund - Liquid Option</b> <input type="radio"/> Regular Plan <input type="radio"/> Institutional Plan <input type="radio"/> Institutional Premium Plan	<input type="checkbox"/> Dividend <input type="checkbox"/> Growth	<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Monthly	<input type="checkbox"/> Reinvest <input type="checkbox"/> Payout <input type="checkbox"/> Sweep	
<b>Principal Government Securities Fund - Savings Plan</b>	<input type="checkbox"/> Dividend <input type="checkbox"/> Growth - Accumulation <input type="checkbox"/> Growth - Auto Earnings Payout		<input type="checkbox"/> Reinvest <input type="checkbox"/> Payout <input type="checkbox"/> Sweep	
<b>Principal Government Securities Fund - Investment Plan</b>	<input type="checkbox"/> Dividend <input type="checkbox"/> Growth - Accumulation <input type="checkbox"/> Growth - Auto Earnings Payout	<input type="checkbox"/> Quarterly <input type="checkbox"/> Half Yearly <input type="checkbox"/> Annual	<input type="checkbox"/> Reinvest <input type="checkbox"/> Payout <input type="checkbox"/> Sweep	
<b>Principal Government Securities Fund - Provident Fund Plan</b>	<input type="checkbox"/> Dividend <input type="checkbox"/> Growth - Accumulation <input type="checkbox"/> Growth - Auto Earnings Payout <input type="checkbox"/> Annual NAV Rebalancing Option	<input type="checkbox"/> Half Yearly <input type="checkbox"/> Annual	<input type="checkbox"/> Reinvest <input type="checkbox"/> Payout <input type="checkbox"/> Sweep	
<b>Gross Amount Invested (Rs. In Figures)</b>				
<b>Less: Bank Charges (Rs. In Figures)</b>				
<b>Net Amount (Rs. In Figures)</b>				

<b>PAYMENT DETAILS</b>
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<input type="radio"/> - Cheque	<input type="radio"/> - D.D.	<input type="radio"/> - NRE	<input type="radio"/> - NRO	<input type="radio"/> - FCNR	<input type="radio"/> - NRSR
Drawn on Bank Name	Cheque / DD No. & Date				
Branch Name	Cheque Amount (in figures)				
City name	Cheque Amount (in words)				
All Cheques / DDs to be drawn in favour of "Principal Mutual Fund"					

<b>DECLARATION AND SIGNATURES</b>
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Name of the 1st Authorised Signatory		Signature / Thumb impression of 1st Applicant / Parent / Guardian / P A Holder / Authorised Signatory	
Name of the 2nd Authorised Signatory		Signature / Thumb impression of 2nd Applicant / Parent / Guardian / P A Holder / Authorised Signatory	
Name of the 3rd Authorised Signatory		Signature / Thumb impression of 3rd Applicant / Parent / Guardian / P A Holder / Authorised Signatory	
Power of Attorney Registration No.		(if registered with the Registrar of the scheme concerned)	

<b>WITNESS DETAILS (To be filled in if Application is signed by Thumb Impression)</b>
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Name of 1st Witness	Name of 2nd Witness
Address of 1st Witness	Address of 2nd Witness
Signature of 1st Witness	Signature of 2nd Witness

<b>ACKNOWLEDGEMENT SLIP (To be filled in by the investor)</b>
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<b>Scheme Name/Plan/Option</b>	<b>Gross Investment Amount Rs.</b>
_____	_____
<b>TOTAL GROSS AMOUNT</b>	_____
<b>LESS BANK CHARGES</b>	_____
<b>TOTAL NET AMOUNT</b>	_____

*Note: All future communications in connection with this application should be addressed to Investor Services Mumbai, quoting full name of the first applicant, the application serial number, the name of the scheme/plan/option, the amount invested under individual schemes or asset allocation, optional feature details, date and place of the Investor Service Centre where application was lodged.*